



ALTERNATIVE TO PTO/SB/08A/B  
(Based on PTO 08-08 version)

Substitute for form 1449/PTO 8-08

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	10/600,006
Filing Date	June 19, 2003
First Named Inventor	Andrew B. ARATA
Art Unit	1616
Examiner Name	J. D. Pak
Attorney Docket Number	631342000111

Sheet	1	of	10
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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
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				Art Unit	1616
				Examiner Name	J. D. Pak
Sheet	2	of	10	Attorney Docket Number	631342000111

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
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\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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			Art Unit	1616	
			Examiner Name	J. D. Pak	
Sheet	3	of	10	Attorney Docket Number	631342000111

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				Art Unit	1616
				Examiner Name	J. D. Pak
Sheet	4	of.	10	Attorney Docket Number	631342000111

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	101.	Duty of Candor for U.S. Patent Application Serial No. 11/144,398, dated October 4, 2007	
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				First Named Inventor	Andrew B. ARATA
				Art Unit	1616
				Examiner Name	J. D. Pak
Sheet	5	of	10	Attorney Docket Number	631342000111

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	125.	Response and Amendment After Final for U.S. Patent Application Serial No. 10/928,639, dated November 19, 2007	
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Examiner Signature		Date Considered	
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				Examiner Name	J. D. Pak
Sheet	6	of	10	Attorney Docket Number	631342000111

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	156.	Amendment and Response for U.S. Patent Application Serial No. 11/125,849, dated June 11, 2007	
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Sheet	7	of	10	Attorney Docket Number	631342000111

		dated March 27, 2007	
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	186.	Amendment and Response to Office Action for U.S. Patent Application Serial No. 10/600,006, dated December 26, 2007	
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	189.	Preliminary Amendment for U.S. Patent Application Serial No. 11/060,013, dated February 16, 2005	
	190.	Requirement for Restriction/Election for U.S. Patent Application Serial No. 11/060,013, dated July 31, 2006	
	191.	Response to Election/ Restriction Filed for U.S. Patent Application Serial No. 11/060,013, dated December 4, 2006	
	192.	Amendment/Req. Reconsideration - After Non-Final Rejection for U.S. Patent Application Serial No. 11/060,013, dated March 22, 2007	
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	195.	Examiner Interview Summary Record for U.S. Patent Application Serial No. 11/060,013, dated October 16, 2007	
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	197.	Notice of Allowance and Fees Due for U.S. Patent Application Serial No. 11/060,013, dated January 7, 2008	
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				Examiner Name	J. D. Pak
Sheet	8	of	10	Attorney Docket Number	631342000111

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	210.	Response to Written Opinion for Singaporean Patent Application No. 200701618-1, dated February 5, 2009	
	211.	Office Action for Chinese Patent Application No. 200480024459.X, dated May 25, 2007	
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	216.	Office Action Response for Mexican Patent Application No. PA/a/2006/002283, dated August 6, 2008	
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	220.	Office Action for New Zealand Patent Application No. 545933, dated May 20, 2008	
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	223.	Notification of Grant for Singaporean Patent Application No. 200601193-6, dated December 31, 2008	
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	229.	Examination for Australian Patent Application No. 11880/99, dated December 5, 2000	
	230.	Response to Examination for Australian Patent Application No. 11880/99, dated August 12, 2002	
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				Application Number	10/600,006
				Filing Date	June 19, 2003
				First Named Inventor	Andrew B. ARATA
				Art Unit	1616
				Examiner Name	J. D. Pak
Sheet	9	of	10	Attorney Docket Number	631342000111

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240.	Third Office Action for Chinese Patent Application No. 98812103.4, February 25, 2005	
241.	Response to Third Office Action for Chinese Patent Application No. 98812103.4, dated July 12, 2005	
242.	Fourth Office Action for Chinese Patent Application No. 98812103.4, dated December 9, 2005	
243.	Response to Fourth Office Action for Chinese Patent Application No. 98812103.4, dated April 21, 2006	
244.	Decision on Rejection for Chinese Patent Application No. 98812103.4, dated January 19, 2007	
245.	Request for Examination for Chinese Patent Application No. 98812103.4, dated May 8, 2007	
246.	Notice of Reexamination for Chinese Patent Application No. 98812103.4, dated July 4, 2008	
247.	Response to Reexamination for Chinese Patent Application No. 98812103.4, dated October 20, 2008	
248.	Rejection Reversed for Chinese Patent Application No. 98812103.4, dated December 23, 2008	
249.	First Office Action for Chinese Patent Application No. 200410045711.7, dated June 10, 2005	
250.	Decision on Rejection for Chinese Patent Application No. 200410045711.7, dated June 9, 2006	
251.	Response to Decision on Rejection for Chinese Patent Application No. 200410045711.7, dated September 20, 2006	
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254.	First Office Action for Chinese Patent Application No. 200410045710.2, dated August 18, 2006	
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261.	Notice of Allowance for European Patent Application No. 98954966.2, dated April 10, 2004	
262.	Decision to Grant for European Patent Application No. 98954966.2, dated November 8, 2007	
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264.	Examination Report for New Zealand Patent Application No. 503582, dated May 13, 2002	
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266.	Grant of Patent for New Zealand Patent Application No. 503582, dated March 31, 2003	
267.	Grant of Patent for New Zealand Patent Application No. 518845, dated June 8, 2004	
268.	Written Opinion for PCT/US98/21604, dated October 27, 1999	
269.	International Preliminary Exam Report for PCT/US98/21604, dated March 22, 2000	

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/600,006
				Filing Date	June 19, 2003
				First Named Inventor	Andrew B. ARATA
				Art Unit	1616
				Examiner Name	J. D. Pak
Sheet	10	of	10	Attorney Docket Number	631342000111

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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